CONSTITUTION

AMERICAN SADDLEBRED HORSE ASSOCIATION OF CANADA

AMENDED TO May 10, 2000

80by-laws.doc/server

AMENDMENTS TO AMERICAN SADDLEBRED HORSE ASSOCIATION OF CANADA

INCORPORATED August 5th, 1948

Section 22	May 9, 1979
Section 22 and Association Name Change	July 26, 1982
Articles 6, 7 & 22	June 13, 1985
Articles 2, 14 & 16	June 7, 1993
Articles 3, 10 & 18	August 22, 1994
Articles 16, 18 & 19	April 24, 1995
Articles 3, 16, 17 & 18	April 9, 1996
Articles 18 & 20	November 17, 1997
Articles 18, 19 & 27	April 8, 1998
Article 18	May 10, 2000

AMERICAN SADDLEBRED HORSE ASSOCIATION OF CANADA

1. NAME

The Association shall be the American Saddlebred Horse Association of Canada.

2. OBJECTS

The Association shall have for its objects the encouragement, development and regulation of the breeding of purebred American Saddlebred Horses in Canada.

- a. By keeping a record the breeding and origin of American Saddlebred Horses and by collecting, preserving and publishing date and documents relating to same.
- b. By establishing standards of breeding and by carrying out a system of registration under the Canadian Livestock Records Corporation system.
- c. By adopting means from time to time to protect and assist breeders engaged in propagation and breeding of purebred American Saddlebred Horses in compliance with the Live Stock Pedigree Act or any regulation made thereunder.
- d. By maintaining an efficient supervision of breeders of American Saddlebred Horses to prevent, detect and punish fraud.
- e. By compiling statistics of the industry of same, furnishing official and authentic information in regard thereto.
- f. And for these purposes to have power to make all needful contracts and agreements, and to make, alter and repeal regulations subject to the provisions hereinafter set forth.
- g. To set rules for showing of American Saddlebred Horses in Canada through the Canadian Equestrian Federation or governing body which the Association deems appropriate.

3. MEMBERS

- 1. There shall be three classes of members:
 - a. HONORARY MEMBERS: The Association may appoint life members at a general meeting if the Board of Directors have, by resolution, named the proposed member or members and recommended that such appointment be made, but such honorary member shall take no part in the management of the Association's affairs, their position shall be purely honorary.
 - b. ANNUAL MEMBERS: Annual members shall be those individuals, partnerships or companies, incorporated under Federal or Provincial charter, resident in Canada who pay the prescribed annual membership fee, due the first day of January of each year.
 - c. NON-RESIDENT MEMBERSHIP: Individuals resident outside of Canada may become annual members on the approval of the Board of Directors, but shall not hold office or be entitled to vote at any meeting.

2. Applications for annual membership shall be in writing and each applicant on becoming a member shall agree to be bound by the Constitution and amendments thereto and all rules of the Association, but the Association shall have power to reject any application for membership. Any applicant so rejected shall not be allowed to register or transfer as a non-member.

Application for partnership or incorporated company membership shall specify the person authorized to vote, act or sign for the partnership or company. A member of a partnership or company other than the person mentioned in the application may be authorized by the partnership or company to act or vote at any meeting of the Association.

- 3. All members in good standing shall as a matter of contract and except as hereinafter provided enjoy the same rights and privileges and be subject to the same liabilities as the original incorporators of this Association. No member who is in arrears of membership or other fees or dues shall enjoy such rights and privileges.
- 4. A member in good standing is a member who has complied with the regulations as hereinafter set forth and who is not in arrears of membership or other fees or dues or suspended.
- 5. The financial liability of the member to the Association shall be limited to the amount due from him in respect to his membership and registration fees.
- 6. No member shall be entitled to any of the rights and privileges of the Association during any year until his annual fee for that year is paid. On March 31st in each year all members who paid for the preceding year but who have not paid for the then current year shall be removed from the membership roll. They may, however, elect to register or transfer at non-member rates during this three months period. They may nevertheless again become members in the manner prescribed by this constitution.
- 7. No member shall hold office or be entitled to vote at a meeting or to give notice to amend this constitution if at the time in arrears of membership or other fees or dues. A person not a member, may at an annual general meeting pay the prescribed fees but he shall not be entitled to vote unless his application for membership is accepted by a two-thirds vote of the members present.
- 8. The Board of Directors shall have the power to suspend or expel any person who fails to observe any rule or regulation set forth in this constitution or whose conduct is in the opinion of the Board prejudicial to the interests of the Association. A person so suspended or expelled shall after the expiration of sixty days have the right to apply to the Board of Directors for reinstatement and shall on application be reinstated at the next meeting of the Board, provided two-thirds of the members of the Board present thereat vote in the affirmative. If the Board of Directors refuses to reinstate a person so suspended or expelled such person shall have the right to apply for reinstatement by the next succeeding general meeting, but reinstatement by a general meeting shall be only by a vote of two-thirds of the members present thereat and voting.

On report of the Executive Committee of a charge against any person which may result in suspension or expulsion, the charges shall be laid before a meeting of the Executive Committee and the person so charged shall have fifteen days notice in writing of such meeting and be given the right to be heard.

A person who automatically suspends himself under any rule or regulation of this Association shall after the expiration of ten days have the right to apply to the Board of Directors for reinstatement and shall on application be reinstated at the next meeting of the Board, provided two-thirds of the members of the Board present thereat, vote in the affirmative. If the Board of Directors refuses to reinstate a person automatically suspended from membership, such person shall have the right to apply for reinstatement by the next succeeding general meeting, but reinstatement by a general meeting shall be only by a vote of two-thirds of the members present thereat and voting.

9. Any person expelled from membership by any other organization incorporated under the Livestock Pedigree Act shall not be eligible for membership in this Association and if he is a member of this

Association at the time of such expulsion, his membership shall thereupon forthwith terminate automatically.

Any person so suspended or expelled shall have no claim against this Association or any interest in the property or assets of this Association.

- 10. The membership year of the Association shall correspond with the calendar year.
- 11. PROXY/MAIL-IN VOTES: Voting by proxy shall be allowed in all matters coming before the General Membership at a general meeting with the exception of dissolution of the Association and by-law changes. Only members in good standing shall be entitled to vote. All proxies must be registered with the Secretary prior to the commencement of the meeting.

Voting by mail shall be allowed from time to time at the discretion of the Board of Directors provided that special notice of such mail-in vote shall be given prior to holding of said vote.

4. OFFICES

The head office of the Association shall be at such place as the Board of Directors may determine and the office for the registration of pedigrees shall be the office of the Canadian Livestock Records Corporation, in the City of Ottawa, under the supervision of the Board of Directors of the Canadian Livestock Records Corporation.

5. FISCAL YEAR

The fiscal year of the Association shall correspond with the calendar year.

6. DIRECTORS, OFFICERS, COMMITTEES

1. DIRECTORS: The affairs of the Association shall be conducted by a Board of eight Directors, who shall be elected at the annual general meeting of this Association by ballot by the members in good standing present thereat and voting. They shall hold office until their successors are elected.

The Board shall have the power to fill any vacancies which may occur among their number, officers or committees, providing, however, that any Director so appointed shall hold office only until the next annual meeting of the Association but shall be then eligible for re-election. The Board of Directors may delegate any of their powers to the Executive Committee.

- 2. EXECUTIVE COMMITTEE: The Directors shall at their first meeting after each annual meeting elect by ballot three of their number to act on the Executive Committee who together with the President and Vice-President shall constitute the Executive Committee. A copy of the minutes of each Executive meeting shall be forwarded to each Director and the General Manager, Canadian Livestock Records Corporation, within ten days after a meeting.
- 3. SPECIAL COMMITTEES: The Board of Directors may appoint from their number or from the other members of the Association special committees, but must in all cases name one of their number a member who shall be the presiding officer. The action of any special committee is subject to the approval of the Board of Directors.

Any committee except the Executive Committee shall have power to add to its number.

4. HONORARY PRESIDENT: Honorary President and Honorary Vice-President may be appointed at any annual general meeting of this Association but their position shall be purely honorary.

- 5. PRESIDENT: The President shall be elected annually from among their number by the Directors at their first meeting after the annual meeting. He shall hold office for one year or until his successor is elected. The duties of the President shall be to preside at all meetings of the Association and the Board of Directors and of the Executive Committee, to exercise a general supervision over the affairs of the Association and generally to do all matters, acts and things as are usually done by Presidents of similar organizations. He shall be a member of all committees.
- 6. VICE-PRESIDENT: A Vice-President shall be elected in the same manner, hold office for a similar term and in case the President is unable to act, on account of illness or absence, shall perform all the duties of the President.
- 7. SECRETARY-TREASURER: The Board of Directors shall from time to time as occasion may require, appoint a Secretary and a Treasurer, or a Secretary-Treasurer who shall act under the control and with the approval of the Board of Directors. The duties of the Secretary shall be to attend all meetings of the Association and the Board of Directors and of the Executive Committee and to keep exact minutes of the proceedings of same, and to do such other things as may be delegated to him by this constitution, the Board of Directors or the Executive Committee. He shall keep a copy of this constitution together with all by-laws, rules and amendments thereto as approved by the Department of Agriculture which shall be open to inspection by any member of the Association at all reasonable times. The Treasurer shall deposit all monies received by him in a chartered bank, to the credit of this Association, and shall pay same out again by cheque only, countersigned by the President or other officer appointed for that purpose by the Board of Directors or forward same to the Accountant, Canadian Livestock Records Corporation as hereinafter provided.

He shall deposit for safekeeping all securities owned by this Association in a safety deposit vault as may be approved of by the Board of Directors, and shall withdraw the same only in the presence of the President or other officer named by the Board of Directors. He shall be bonded in such amount as may be required from time to time by the Board of Directors. He shall keep proper books of account containing entries of all such matters or things as are usually entered in books of account, and shall furnish from time to time such statements in detail of the affairs of the Association or such matters as may be directed by the Board of Directors or the Executive Committee.

- 8. REGISTRAR: The Canadian Livestock Records Corporation Committee shall assign to this Association a person to act as Registrar. The duties of the Registrar shall be similar to the duties of other Registrars in the employ of the Canadian Livestock Records Corporation.
- 9. PEDIGREE COMMITTEE: The President, or his Representative, the Secretary and the Registrar, shall be a committee with authority to authorize change of ownership and registration of pedigrees where signatures or other information are for any reason unobtainable. The decision of the committee, shall if unanimous, be final. If the committee is not unanimous the matter in question shall be submitted to the Board of Directors for decision. This committee or the Board of Directors shall have no power to authorize the registration of any animal unless the pedigree of breeding submitted complies in all particulars with the rules of eligibility for registration as outlined elsewhere in this constitution. All decisions of the committee shall be submitted to the Board of Directors of the committee shall be submitted to the Board of Directors of the rules of eligibility for registration as outlined elsewhere in this constitution. All decisions of the committee shall be submitted to the Board of Directors of the rules of their next meeting.
- 10. AUDITOR: The Association at each annual general meeting shall appoint an Auditor. His duty shall be to examine all books and records of the head office of the Association, vouchers for all payments and certify the usual statements of receipts and expenditures and assets and liabilities for the year for presentation to the next annual general meeting.
- 11. REPRESENTATIVES: Representatives to organizations asking that such be appointed shall be appointed at the annual general meeting, but if for any reason any of these are not so appointed, the Board of Directors shall have power to appoint the same. Representatives to the Canadian Livestock Records Corporation Board shall be appointed by the Board of Directors.

12. PROVINCIAL CLUBS: Members of this Association in good standing may organize provincial associations under a constitution prepared by this Association. The activities of any such provincial association shall be confined solely to its own provincial interests and shall not conflict in any way with the activities of this Association. Not more than one provincial association in each province shall be organized under this constitution. It shall be the duty of each provincial club secretary to submit a complete report of the activities of the club together with an audited statement of receipts and expenditures on or before January 31st, of each year. Recognized Provincial Saddlebred Horse organizations may elect a director with full voting privileges to the Board of Directors of the American Saddlebred Horse Association of Canada. Such directors must be a member in good standing of the American Saddlebred Horse Association of Canada.

7. MEETINGS

1. The annual general meeting of the Association shall be held at such time and place as decided upon by the Executive Committee of the Association, other general meetings shall be held at the time and place fixed by the Board of Directors. A notice of at least thirty days in advance shall be given by post indicating the time and place of meeting. This notice shall be given by a circular letter postage prepaid to each of the members at his last known post office address appearing on the books of the Association. In the event of the Association publishing an official organ a notice published therein shall be deemed to be sufficient.

A copy of the notice calling an annual or general meeting shall be forwarded to the Minister of Agriculture for Canada and to the General Manager, Canadian Livestock Records Corporation in a like manner as to members of the Association.

- 2. Notice of meetings of the Board of Directors other than the one immediately following the annual general meeting shall be mailed, postage prepaid to each one of them seven days at least before the date of meeting to his last known post office address appearing on the books of the Association, or by telegraph at least five days before the date of the meeting.
- 3. A meeting of the Board of Directors may be held on shorter notice or without written notice providing all Directors have given their consent to the meeting being held. A minute of such consent shall be entered in the minutes.
- 4. Notice of meetings of the Executive Committee shall be mailed postage prepaid to each member thereof seven days at least before the date of the meeting to his last known post office address appearing on the books of the Association.
- 5. Meetings of the Executive Committee may be held on shorter notice or without written notice providing all have given their consent to the meeting being held. A minute of such consent shall be entered into the minutes.
- 6. For the transaction of the business of the Association at an annual or other general meeting a quorum shall be ten; at a meeting of the Board of Directors a quorum shall be five; at a meeting of the Executive Committee a quorum shall be three.
- 7. A copy of the minutes of all meetings of the Board of Directors and of the Executive Committee shall be mailed within ten days following such meeting, to each Director and to the General Manager, Canadian Livestock Records Corporation, and to the Minister of Agriculture.
- 8. On approval of the membership at an Annual Meeting, the Board of Directors may enter into agreement with the American Saddlebred Horse Association for the purpose of establishing a reciprocal agreement.

8. ORDER OF BUSINESS

The order of business at all annual and general meetings shall be as follows:

- a. Identification of Members.
- b. Reading minutes of previous meeting.
- c. Report of officers, directors and committees.
- d. Correspondence.
- e. Unfinished business.
- f. If annual meeting, report of election of directors and other officers and appointments of representatives.
- g. New business.
- h. Adjournment.

The above order with the exception of "a" Identification of Members, may be changed at the pleasure of the meeting assembled.

9. AUDIT AND ANNUAL REPORT

The Board of Directors at each annual general meeting shall submit a complete report of its acts and of the affairs of the Association; it shall present a detailed statement duly audited of the receipts and expenditures of the preceding year and of the assets and liabilities of the Association.

A copy of this report, a list of the officers elected and the representatives to the Canadian Livestock Records Corporation Board, also general information on the affairs of the Association shall be sent to the Minister of Agriculture for Canada and to the General Manager, Canadian Livestock Records Corporation within twenty days after the annual meeting. A copy of the annual report may be mailed to each member in good standing.

10. EXPENSES, INCOME AND PROPERTY

The income and property of the Association from whatever source derived, shall be applied solely toward the promotion and furtherance of the objects of the Association, and no part thereof shall be paid or transferred directly or indirectly by way of bonus or otherwise as profit or gain to members of the Association, past, present or future, or to any person claiming through any member provided however that nothing herein contained shall prevent the bona fide payment of remuneration to any secretary, treasurer, registrar, officer, clerk or servant, or other person or persons for services actually rendered the Association whether such are members of the Association. The Association may be dissolved if 2/3 of the membership voting in a mail-in vote and 3/4 of 75% of the Board of Directors vote in favour of dissolution of the Association. Upon this occurring, any property of the Association that remains after the payment of the Association's debts and liabilities shall be transferred to such other association with a similar purpose, or such charity, as the Minister may specify in writing.

The Association shall with other organizations comprising the Canadian Livestock Records Corporation pay to the Board of Directors of the Canadian Livestock Records Corporation a proportionate amount of the expense of conducting the Canadian Livestock Records Corporation Office.

<u>11. BOOKS</u>

- 1. A register shall be kept at the office of the Canadian Livestock Records Corporation. This register shall be known as the American Saddlebred Horse Stud Book of Canada and shall be published by the Canadian Livestock Records Corporation at such time and in such form as decided by the Board of Directors as the information on office duplicate of certificates of registration issued warrants.
- 2. A copy of the American Saddlebred Horse Stud Book of Canada published during any year shall be supplied to members of the Association in good standing at the time the volume was issued, at a

price set by the Board of Directors, upon receipt of a written application accompanied by necessary fee, addressed to the Accountant, Canadian Livestock Records Corporation, Ottawa. The Canadian Livestock Records Corporation shall notify members of the Association in good standing as to the date of making application for each volume. The charge for volumes to non-members shall be fixed by the Board of Directors.

12. AMENDMENTS

This constitution may be amended at any general meeting of the Association, by the affirmative vote of two thirds of the members present, but no amendment shall be valid until approved by the Minister of Agriculture for Canada and filed at the Department of Agriculture for Canada. Notice of all proposed amendments shall be in writing and signed by two members in good standing and given to the Secretary sixty days in advance of a general meeting and they shall be included in the notice calling such meeting otherwise they shall have no power to deal with same. He shall keep a copy o this constitution together with all by-laws, rules and amendments thereto as approved by the Department of Agriculture which shall be open to inspection by any member of the Association at all reasonable times.

13. CORPORATE SEAL

The seal as stamped in the margin hereof shall be the corporate seal of the Association.

14. REGISTRATION OF PEDIGREES

- 1. There shall be furnished by the Canadian Livestock Records Corporation for all living animals registered a certificate of registration on the form adopted by the Canadian Livestock Records Corporation Committee. The pedigree shall be in such form as decided on by the Board of Directors.
- 2. Any person suspended or expelled from membership shall not be allowed the privilege of recording pedigrees in the record of the Association.
- 3. Any person prohibited from recording pedigrees by any other organization incorporated under the Livestock Pedigree Act shall not be allowed to record pedigrees in the American Saddlebred Horse Association of Canada.
- 4. The Board of Directors of the Canadian Livestock Records Corporation shall have power, for any cause which in the opinion of the Committee seems proper to suspend any member or refuse application for registration or transfer from any person whether a member or not. Any such action taken by the Board of Directors under this provision shall be immediately reported to this Association. The Board of Directors may refuse to accept the signature of any person on an application for registration or transfer whether a member or not, pending action of the Directors or a general meeting of this Association.

15. IDENTIFICATION AND REGISTRATION OF TATTOO LETTERS

Identification: The general colour and a complete description of all markings shall be required on the application for registration.

16. REGISTRATION OF NAMES

A breeder may register for his exclusive use a name to be used as a prefix (in French, or suffix) in naming animals born his property. A particular name will be allowed to one person or partnership only,

and in registering such names priority in use and in application for registration shall be considered. Letters shall not be used as a prefix to a name.

Names shall not contain more than thirty letter spaces or characters, including numeral affix. In the event of a change in the name of a partnership or company or if any party is taken into partnership, the name may be transferred upon application to the Canadian Livestock Records Corporation of the registered owner or his authorized representative. Likewise the transfer may be made from a deceased owner to his heir.

A registered name may be transferred to another person or persons on application of the person in whose name it is registered. After the expiration of five years a registered name will be forfeited if it has not been used in registering an animal by the registered owner.

Animals from other countries shall be registered with the same name they had in the country from which they came.

The following names shall not be used:

- a. Names of animals registered previously in this stud book.
- b. Names of living persons unless their written permission to use their name is filed with application for registry.
- c. Names whose spelling or pronunciation is similar to names already in use.
- d. Names of famous or notorious people, trade names, or names claimed for advertising purposes.
- e. Names of stallions whose daughters are in the stud.
- f. Names of famous horses.
- g. Herd names registered by any other breeder in this or any other Live Stock Associations in Canada.

The name of a registered horse may be changed one time only during the lifetime of that horse provided that:

- 1. Such animal has no offspring already registered in the registry.
- 2. Such animal has not been used as a breeding stallion.
- 3. The owner pay the fee set out by the Association.

17. PRIVATE BREEDING RECORDS

Each breeder shall keep a private breeding record which shall contain full particulars of his breeding operations including the following details:

The name, registered number, sex, identification marking, date of birth of each animal in the stud, also date of purchase and name of vendor if purchased. For all females the date of service, registration number and number of all sires used, date of birth of foals, sex and identification marking of foals.

A record of all sales including date and name of purchaser and of all deaths in the Stud.

All entries shall be made in ink within three days of actual date of birth, service, death, purchase or sale. Such records shall be open to the inspection of officials of this association, officials of the Department of Agriculture and officials of Canadian Livestock Records Corporation.

The owner or manager of a registered American Saddlebred stallion which has been bred to any American Saddlebred mare(s) during a breeding season must submit a stallion service report on the required form to American Saddlebred Horse Association of Canada Registry with the Canadian Livestock Record Corporation no later than January 15 of the year following the breeding season. Information to be included:

- 1. Registration number and name of each mare bred
- 2. Name and address of mare owner
- 3. All dates mare was bred
- 4. Method of service:

- a) Transported semen (frozen or fresh cooled)
- b) Natural cover
- c) Artificial insemination
- d) Pastured breeding and dates mare was confined with stallion
- 5. Signature of all persons authorized

A penalty fee of \$100.00 will be assessed if the stallion owner or authorized manager fails to file a stallion service report on or before January 15 of the year following the breeding season.

18. RULES OF ELIGIBILITY

The following shall be eligible for registration:

- 1.a. An animal registered in an approved foreign registry. A foreign registry may be approved from time to time by the Board of Directors. The guidelines for approving a foreign registry and a list of approved foreign registries shall be published from time to time and be available for reference at all times from the American Saddlebred Horse Association of Canada office or the CLRC.
 - b. An animal born in Canada the progeny of animals registered in the American Saddlebred Horse Stud Book of Canada.
 - c. An animal born in Canada whose dam was serviced by artificial insemination and/or transported semen. Blood-typing or DNA testing of the sire, dam and foal will be required to verify parentage.
- 2. An animal born in Canada whose sire is owned by a non-Canadian and is blood-typed and registered as a purebred Saddlebred in the A.S.H.A. Registry in Lexington, Kentucky. A certified pedigree and proof of Blood-typing of both sire and get must be forwarded with the application for registration.
- 3. A stallion owned by a non-Canadian and leased by a Canadian resident to stand at stud in Canada must have the lease registered with this Association. Service by this stallion will be treated the same as a Canadian registered stallion.
- 4. For any horse with white markings above the knees or hocks (such as pinto), four current photographs showing both sides, front, and rear must accompany the application for registration.

ARTIFICIAL INSEMINATION AND TRANSPORTED SEMEN

- 1. Fresh cooled or frozen semen may be used.
- 2. The use of frozen semen collected from a stallion that has died or been castrated will be allowed in the calendar year that death or castration occurs and for a period of three calendar years thereafter. ASHA of Canada Registry with the Canadian Livestock Records Corporation must receive notification signed by a licensed veterinarian within 30 days of the death or castration of the stallion and an affidavit signed by the recorded owner or person to whom proper authority has been given stating the location of the semen which is to be used during the allowed period. Annual stallion service reports and breeder's certificates must continue to be filed by the recorded owner or person to whom proper authority has been is allowed to be used.
- 3. Falsifying transported fresh cooled or frozen semen records or failing to report breeding by use of transported semen or the date of death or castration of a stallion are considered violations of the rules of the Association.

EMBRYO TRANSPLANT

Embryo transplant involves the transferring of an embryo from its genetic dam (the donor mare) to another mare (the recipient or carrier mare) which acts as the host and carries the foal.

- 1. Prior to performing an embryo transplant procedure, the proper application for a permit must be filed with ASHA of Canada Registry with the Canadian Livestock Records Corporation. The fee is \$10.00; forms can be obtained from the office.
- 2. The blood types or DNA of the stallion and the donor mare must be on file with ASHA of Canada Registry with Canadian Livestock Records Corporation before the permit will be issued.
- 3. The blood type or DNA of the foal resulting from an embryo transplant procedure must be on file with ASHA of Canada Registry with the Canadian Livestock Records Corporation before registration can be completed.
- 4. Four foals per year per donor may be registered, including one carried by the donor mare.
- 5. A licensed veterinarian must be present during the collection and transfer procedure, and identification forms for donor and recipient mares signed by the veterinarian must be filed with ASHA of Canada Registry with the Canadian Livestock Records Corporation.
- 6. It will be noted on the certificate of registration that the foal is the result of an embryo transplant.
- 7. ASHA of Canada Registry with the Canadian Livestock Records Corporation has the right to inspect and check all or any practices of any party using or intending to use embryo transplant procedures. The burden of proof as to true parentage is to be borne by the applicant.
- 8. An embryo may be transported from the premises where the donor mare was located at the time of its removal from her for use in a recipient mare at another location but implantation of such embryo must occur within thirty (30) days of its removal from the donor mare.
- 9. ASHA of Canada Registry with Canadian Livestock Records Corporation considers the owner(s) of the donor mare at the time an embryo is implanted in a recipient mare to be the original owner(s) of an embryo transplanted foal.

BLOOD-TYPING

To assist in the verification of parentage, the following horses shall be blood-typed or DNA tested at the recorded owner's expense by a laboratory recognized by the American Saddlebred Horse Association of Canada.

- 1. All stallions siring foals.
- 2. Any mare must be blood-typed or DNA tested before the registration of her foal will be completed.
- 3. Any foal, must have its blood type or DNA on file with the American Saddlebred Horse Association of Canada Registry with the Canadian Livestock Records Corporation, at the time of making application for registration and must be qualified by blood testing as the offspring of the sire and dam before a permanent registration certificate will be issued.
- 4. All horses older than 24 months of actual age at the time of application for registration must be blood-typed or DNA tested at the owner's expense by a laboratory recognized by the American Saddlebred Horse Association of Canada Registry with Canadian Livestock Records Corporation.
- 5. The foal(s) resulting from an embryo transplant as well as the donor dam.
- 6. A foal requiring a re-registration certificate to change sire, dam, foaling year or sex of foal. Bloodtyping or DNA testing of both sire and dam is also required.

- 7. Any mare exposed or bred by two or more stallions within a 35 day period, as well as the resulting foal.
- 8. American Saddlebred Horse Association of Canada may require blood-typing or DNA testing at the owner's expense of any horse whenever it receives evidence suggesting or calling into question the correctness of the parentage listed for such horse.
- 9. American Saddlebred Horse Association of Canada may from time to time conduct blood typing or DNA testing of horses selected by the American Saddlebred Horse Association of Canada on a random basis. The owner or lessee of a selected horse shall allow the blood-typing to be conducted at the expense and under the supervision of American Saddlebred Horse Association of Canada.
- 10. American Saddlebred Horse Association of Canada may furnish blood-typing or DNA information as to specific horses in response to legal process, court order, requests from other recognized breed registries, and requests from other individuals or entities where such individual or entity states in writing the purpose of the inquiry, and American Saddlebred Horse Association of Canada determines such requests to be reasonable.
- Note:All blood-testing or DNA already done for the American Saddlebred Horse Association will be recognized by the American Saddlebred Horse Association of Canada Registry with the Canadian Livestock Records Corporation.

19. APPLICATIONS FOR REGISTRATION

- 1. Applications for registration of animals, from countries other than Canada must be made to the Canadian Livestock Records Corporation on forms supplied by them and be signed by the importer, show date of importation and be accompanied by certificate of registration showing that they were registered in the registry of the country from which they come, in the name of the seller, and if an animal is in foal in order to register the produce, a certificate must be furnished signed by the owner of the service sire and certified by the stud book authorities in which he is registered.
- 2. (a) Application for registration of all animals born in Canada must be made to the Canadian Livestock Records Corporation on forms supplied by them. All blank spaces must be filled in, in ink, and the form must be signed by the owner of the animal at the time of birth, by the breeder of the animal and by the owner of the sire at the time the dam was served. The dam must be registered in the American Saddlebred Horse Stud Book of Canada in the name of the owner signing and the sire must be registered in the American Saddlebred Horse.

(b) Alternatively, application for registration can be made electronically by means approved by the Board of Directors.

- 3. Registration of Canadian born animals in all cases will be made in the name of the person owning the dam at the date of birth of the foal. If change of ownership has taken place after birth, the usual transfer must be filed, for which a reduced fee will be charged.
- 4. When an animal is a twin it shall be so stated when applying for registration, and the sex given of the animal with which it is a twin. Should a twin be entered upon the record without such statement, no subsequent application for the entry of an animal twin with the same shall be accepted.
- 5. The breeder of an animal is the owner of the dam at the time she was served. The first owner is the owner of the dam at the time the foal was born.
- 6. Duplicate names must be avoided. The right is reserved to change name when necessary, preserving, however, as far as possible, some characteristic of the name given in the application.

7. A name of an animal will not be accepted for registration if it contains more than thirty letters or characters, including numeral affix.

20. TRANSFERS AND DUPLICATE CERTIFICATES

1. In the case of the sale of an animal the seller must furnish a certificate of registration in the American Saddlebred Horse Stud Book of Canada showing the purchaser's ownership. Refusal to do so on any pretext whatever, except under written contract, shall be ground for his expulsion, if a member, from the Association; if not a member, further applications for registration or transfer shall be refused.

(a) Every transfer of ownership of a registered American Saddlebred must be recorded with the ASHA of Canada Registry with Canadian Livestock Records Corporation.

- Application for registration of change of ownership must be made to the Canadian Livestock Records Corporation on forms supplied by them and must give date of sale and date of delivery and in the case of a female if bred, service certificate must be completed. Change of ownership will be endorsed on the back of the original certificate of registration which must be forwarded to the Canadian Livestock Records Corporation with the application for transfer.
 (a) Every transfer of ownership of a registered American Saddlebred must be recorded with the ASHA of Canada Registry with Canadian Livestock Records Corporation.
- 3. In case a male or female is leased or loaned for breeding purposes the form of lease supplied by the Canadian Livestock Records Corporation must be filled in and signed by the lessor and forwarded together with the certificate of registration to the Canadian Livestock Records Corporation to be placed on record. The lessee will in all cases be considered the breeder of the progeny of leased or loaned females.
- 4. A duplicate certificate may be issued if the registered owner or his authorized agent files a statutory declaration on a form supplied by the Canadian Livestock Records Corporation showing in a satisfactory manner that the original is lost, destroyed or unobtainable.
- 5. Buyer and Seller Responsibilities Buyers are cautioned to inspect a horse's official Certificate of Registration prior to purchase of the horse to see that the seller's name is the last recorded owner listed on the certificate. The seller is responsible for recording all transfers of ownership with ASHA of Canada Registry with Canadian Livestock Records Corporation (including all unrecorded back transfers) and for payment of all fees necessary to record such transfers unless other agreement has been reached by buyer and seller.

Sellers are advised to submit the original Certificate of Registration, all properly signed documentation of transfers, and fees to ASHA of Canada Registry with Canadian Livestock Records Corporation as soon as possible after the sale of the animal to insure prompt service and to reduce the possibility of complication in processing the transfer.

6. Auctions and Sales Companies

a) Before a horse is sold at auction, the seller has the responsibility to determine that the horse being sold is properly registered in the name of the seller. No gaps in ownership will be recognized by the Association.

b) In the event a horse is sold through an auction, the seller may deliver the Certificate of Registration to the management of the auction who may be instructed by the seller to complete and sign the transfer form as seller's agent, giving the name and address of the buyer and date of sale; provided, however, the seller has given a properly signed letter of authority to the auction management to act as the seller's authorized agent. Seller shall instruct the management of the auction to withhold the transfer fee from the sale's proceeds and remit it directly to ASHA of Canada Registry with Canadian Livestock Records Corporation, along with the form. Buyers may record transfers of horses purchased through public auctions and sales companies where sellers or the companies have failed to do so, and in the event the transfer fees have not been paid or provided for by the seller or the auction and sales company, buyer shall be entitled to recover such fee from the seller.

7. Transfer Without Signature of Recorded Owner

Wherever legal title to a registered American Saddlebred passes to another by reason of death of the recorded owner, by foreclosure of any lien or by any order or decree of court or otherwise by operation of law, ASHA of Canada Registry with Canadian Livestock Records Corporation may transfer the registration of the horse to the new owner when presented with the following: a) A copy of the order of a court of competent jurisdiction, or other satisfactory proof of authority of the transfer, such as a divorce decree or a will;

b) Payment of applicable transfer fees;

c) Any other requirements as may be defined by the Executive Committee of ASHA of Canada, in special situations.

21. REGISTRATION AND MEMBERSHIP FEE

- 1. All fees shall be paid to the Accountant, Canadian Livestock Records Corporation and shall be sent by postal money order, express money order, bank money order or certified cheque payable at par on Ottawa, Ontario, and they shall forthwith be deposited by him to the credit of the Association in a chartered bank selected by the Board of Directors of the Canadian Livestock Records Corporation.
- 2. In case membership fees to the Association are received by the Treasurer of this Association, they shall immediately be forwarded to the Accountant, Canadian Livestock Records Corporation, Ottawa, for deposit.

<u>22. FEES</u>

The fees to be charged for membership, registration, transfers and other services of the Association shall be set from time to time by the Board of Directors and approved by membership at the Annual Meeting, and an up-to-date schedule of fees will be provided to membership or published in official publication.

23. SUSPENSION DEFINITIONS

- a. SUSPENDED MEMBERS: A suspended member is one who has been deprived of the privileges of the Association automatically or by decision of the Board of Directors for a stated period or until such time as the requirements of the Board of Directors have been complied with or who has been placed on probation by the Board of Directors.
- b. SUSPENDED REGISTRATIONS: A suspended registration is a registration of pedigree or transfer that has been placed under suspension by the Board of Directors or by the Canadian Livestock Records Corporation on account of some irregularity; such suspension to remain until same has been lifted by a resolution of the Directors of this Association.

24. EXPULSION DEFINITION

Expulsion means depriving a person of the privilege of the Association indefinitely or for such period of time as may be determined by the Board of Directors.

25. NON-MEMBERS

Any person not a member of this Association, registering and transferring horses on the records of this Association shall be subject to the same rules and regulations as a member except as otherwise specifically provided for.

26. OFFENCES AND PENALTIES

- 1. Any member who violates any rule or regulation of this constitution automatically suspends himself from making further registration or transfer.
- 2. Registration or transfer of ownership of an animal is made on the understanding that the particulars given on the application are correct. If it is subsequently discovered that the particulars given are incorrect or fraudulent, the registration or transfer shall be suspended by the Canadian Livestock Records Corporation and canceled by this Association. Pedigrees recorded incorrectly may be canceled and re-recorded by the owner or by the Canadian Livestock Records Corporation at the expense of the original applicant for registration or transfer, but it is understood that neither this Association nor the Canadian Livestock Records Corporation shall be held responsible for any loss or damage that may be sustained through suspension, cancellation or correction of any registration or transfer.
- 3. When as the result of an inspection of the manner in which private records are being kept, and identification practised by any breeder, it is shown that the regulations in that regard as laid down herein are not being observed, the Board of Directors may immediately suspend or expel such breeder if a member and if not a member, further registrations and transfers may be refused, and if such inspection should indicate that the private records and identification system as practised by such breeder are in such a state of confusion as to raise a doubt as to the identity of any animal or animals the Board of Directors may suspend the pedigree of any or all animals standing in the name of the breeder.
- 4. The following are extracts from the Live Stock Pedigree Act to which all breeders are subject:

Section 17.

"Every person who

- a. knowingly and with intent to deceive, signs or presents or causes or procures to be signed or presented to the recording officer of an association, or to the person in charge of the Canadian Livestock Records Corporation, any declaration or any application for registration or any transfer of ownership respecting any animal or bird containing any material false or representations, or
- b. falsely holds out any certificate of registration as applying to an animal or bird other than the one in respect of which it was issued, or
- c. willfully commits any act in contravention of the rules promulgated by any association or Canadian Livestock Records Corporation,

is guilty of an offence and liable to a fine not exceeding five hundred dollars and costs and not less than one hundred dollars and costs, and in default of payment to imprisonment for any term not exceeding two months.

Section 18

When by the constitution, by-laws or rules of an Association incorporated in accordance with this Act, provision has been made for the registration of any class or breed, and export Canada, or transport from province to province within Canada, or the sale or contract to sell of any animal or bird of such class or breed as pure bred without furnishing the actual buyer a certificate of pedigree registration, issued by such association, is prohibited, every person who

- a. exports from Canada or transports from one province in Canada to another province in Canada any animal or bird of such class or breed as pure bred without furnishing to the actual buyer in connection therewith a certificate of pedigree registration as issued by such association, or
- b. as owner sells or contracts to sell any animal or bird of such class or breed as pure bred without furnishing or agreeing as an integral part of the contract of sale to furnish the certificate of

pedigree registration together with the duly recorded transfer of ownership thereof to the actual buyer, is guilty of an offence and liable to a fine not exceeding five hundred dollars and costs and not less than one hundred dollars and costs, and in default of payment to imprisonment for any term not exceeding two months.

Section 22.

Section eleven hundred and forty-two of the Criminal Code shall not apply to any proceedings in respect of any offence under this Act.

27.PART BRED REGISTRY

Rules and Regulations

1. The American Saddlebred Horse Association of Canada Registry with Canadian Livestock Records will recognize and record horses of proven half-saddlebred ancestry. This would require that at least one parent be registered with either the American Saddlebred Horse Association of Canada Registry, or the ASHA Registry in Lexington, Ky. The non-Saddlebred parent may be of any other horse breed; donkeys, mules, or other horse type animals are not acceptable. The ASHA of Canada Registry will not accept full blooded American Saddlebred horses to be registered as a Part Bred American Saddlebred.

2. Horses carrying ASHA Half-Saddlebred Registry papers would be eligible, if they meet all other criteria for registration.

3. Fees for registrations, transfers, leases, duplicate certificates, etc., will be the same as for purebred American Saddlebred horses. Membership fees for owners of Part Bred American Saddlebreds will be identical to fees paid by owners of purebred American Saddlebreds, with the same member-reduced rates for services.

4. The following are procedures involved in registering and transferring a qualified Part Bred American Saddlebred horse.

Eligibility

A horse is eligible to be registered as a Part Bred Saddlebred if the following requirements are met:

1. One parent of the horse is registered as a purebred American Saddlebred with the American Saddlebred Horse Association of Canada Registry or eligible to be registered in the ASHA of Canada Registry.

2. The purebred American Saddlebred certificate of registration must be in complete order with the American Saddlebred Horse Association of Canada Registry before any offspring would be considered as eligible.

Artificial Insemination

The use of artificial insemination procedures, breeding by any means other than natural cover, is allowed.

Transported Semen

1. Breeding is allowed by the use of transported semen which is defined as any breeding by artificial insemination which takes place at a location other than the premises where the stallion from which semen is used is standing.

2. Fresh, cooled or frozen semen may be used.

3. The use of frozen semen collected from a stallion that has died or been castrated will be allowed in the calendar year that death or castration occurs for a period of three calendar years thereafter. The Part Bred Saddlebred Registry must receive notification signed by a licensed veterinarian within 30 days of the death or castration of the stallion and an affidavit signed by the recorded owner or person to whom proper authority has been given stating the location of the semen which is to be used during the allowed period. Annual stallion service report and breeder's certificate must continue to be filed by the recorded owner or person to whom proper authority has been given during the period the semen is allowed to be used.

4. Falsifying transported fresh, cooled, or frozen semen records or failing to report a breeding by use of transported semen or the date of death or castration of a stallion are considered as violations of the rules of the ASHA of Canada Registry, and any violator shall be subject to a charge.

Miscellaneous

1. All sexes, including stallions, mares, and geldings, are eligible for registration as a part bred American Saddlebred in the ASHA of Canada Registry, provided all other conditions are met.

- 2. A mare will be allowed only one registered offspring per 12 month period, unless the mare foals live twins by natural means or by artificial insemination, in which case it shall be stated when applying for registration of each twin. Should a twin be registered without such statement, no subsequent application for registration of animal twinned by same shall be accepted.
- 3. A stallion service report must be submitted in order for a horse to be eligible for registration by January 15 of every year.

<u>28.</u>

Wherever the context permits in this constitution, the singular shall include the plural and the masculine the feminine and the neuter.